

LEIGH BALDWIN & CO., LLC - Held NMS Stocks
and Options Order Routing Public Report
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1st Quarter, 2026

January 2026

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
915	100.00	768	83.93	40	4.37	84	9.18	23	2.51

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VRTU Americas, LLC(NITE)	186	17.42	141	18.70	17	17	41.46	20	8.00	8	34.78	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	179	16.76	172	22.81	3	3	7.32	4	1.60	0	0.00	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	173	16.20	138	18.30	14	14	34.15	6	2.40	15	65.22	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	143	13.39	0	0.00	1	1	2.44	142	56.80	0	0.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	114	10.67	106	14.06	1	1	2.44	7	2.80	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	114	10.67	110	14.59	1	1	2.44	3	1.20	0	0.00	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	30	2.81	29	3.85	0	0	0.00	1	0.40	0	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	30	2.81	30	3.98	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	28	2.62	0	0.00	1	1	2.44	27	10.80	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	28	2.62	24	3.18	2	2	4.88	2	0.80	0	0.00	0	0	0	0	0	0	0

January 2026

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,861	100.00	2,994	77.54	135	3.50	714	18.49	18	0.47

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VRTU Americas, LLC(NITE)	903	22.58	547	18.16	79	79	56.83	269	32.37	8	44.44	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	675	16.88	632	20.98	6	6	4.32	37	4.45	0	0.00	0	0	0	0	0	0	0

VIRTU Americas, LLC(NITE)	198	21.76	152	20.49	18	58.06	26	21.31	2	13.33	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	167	18.35	153	20.62	5	16.13	9	7.38	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	126	13.85	121	16.31	2	6.45	3	2.46	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	92	10.11	86	11.59	1	3.23	5	4.10	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	28	3.08	26	3.50	1	3.23	1	0.82	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	23	2.53	21	2.83	0	0.00	2	1.64	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	21	2.31	0	0.00	0	0.00	21	17.21	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	15	1.65	15	2.02	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	10	1.10	0	0.00	0	0.00	10	8.20	0	0.00	0	0	0	0	0	0	0	0

February 2026

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
2,501	100.00	1,917	76.65	89	3.56	491	19.63	4	0.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	602	23.32	372	19.27	45	50.56	184	32.97	1	25.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	472	18.29	431	22.33	17	19.10	24	4.30	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	427	16.54	356	18.45	12	13.48	56	10.04	3	75.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	347	13.44	327	16.94	8	8.99	12	2.15	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	262	10.15	240	12.44	5	5.62	17	3.05	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	90	3.49	0	0.00	0	0.00	90	16.13	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	79	3.06	0	0.00	0	0.00	79	14.16	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	78	3.02	75	3.89	0	0.00	3	0.54	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	68	2.63	65	3.37	1	1.12	2	0.36	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	65	2.52	0	0.00	0	0.00	65	11.65	0	0.00	0	0	0	0	0	0	0	0

February 2026

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
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239 100.00 78 32.64 18 7.53 143 59.83 0 0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)		89	36.93	32	40.51	5	29.41	52	35.86	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		55	22.82	21	26.58	6	35.29	28	19.31	0	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)		41	17.01	10	12.66	2	11.76	29	20.00	0	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)		36	14.94	5	6.33	1	5.88	30	20.69	0	0.00	0	0	0	0	0	0	0
GLOBAL EXECUTION BROKERS, LP(GEBB)		20	8.30	11	13.92	3	17.65	6	4.14	0	0.00	0	0	0	0	0	0	0

March 2026

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
691	100.00	587	84.95	31	4.49	48	6.95	25	3.62

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)		178	24.96	140	23.77	14	42.42	10	15.15	14	56.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)		158	22.16	120	20.37	15	45.45	13	19.70	10	40.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)		134	18.79	132	22.41	1	3.03	1	1.52	0	0.00	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		120	16.83	117	19.86	0	0.00	3	4.55	0	0.00	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)		45	6.31	41	6.96	1	3.03	3	4.55	0	0.00	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)		15	2.10	13	2.21	0	0.00	2	3.03	0	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)		12	1.68	0	0.00	2	6.06	10	15.15	0	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)		12	1.68	12	2.04	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)		11	1.54	10	1.70	0	0.00	0	0.00	1	4.00	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)		10	1.40	0	0.00	0	0.00	10	15.15	0	0.00	0	0	0	0	0	0	0

March 2026

Non-S&P 500 Stocks Summary

